

DEPARTMENT OF WATER RESOURCES

DIVISION OF FLOOD MANAGEMENT
P.O. BOX 219000
SACRAMENTO, CA 95821-9000



May 15, 2015

Mr. James B. Giottonini, Executive Director
San Joaquin Area Flood Control Agency
22 East Weber Avenue, Suite 301
Stockton, California 95202

Urban Flood Risk Reduction Program Concept Proposal for Smith Canal Gate Structure

Dear Mr. Giottonini:

Thank you for submitting a Concept Proposal requesting funds from the Urban Flood Risk Reduction (UFRR) Program in the amount of \$22,309,666 to complete construction of the Smith Canal Gate Structure. Based on evaluation of your submittal, the Department of Water Resources (DWR) is conditionally committing up to \$22,309,666 to complete construction of the Smith Canal Gate Structure pending the receipt and subsequent approval of the full project application as described in the Guidelines and proposal solicitation package.

DWR is requesting that San Joaquin Area Flood Control Agency (SJAFCA) submit the full project application prior to June 30, 2015. The application must contain all of the required documents (Attachment 1), meet all of the requirements of the UFRR Guidelines, and be consistent with the approved Concept Proposal. We recommend providing additional information regarding the recreational component of the proposal to justify the requested cost share. Additionally, written approval by the State and appropriate Levee Maintaining Agencies is required on a Draft Amendment to the O&M Manual prior to receiving any disbursement of construction funds under UFRR.

We understand you recently filed for Section 221 with the U.S. Army Corps of Engineers. It is important that you continue to pursue approval of this Section 221. It is also critical that you work with DWR throughout the design and construction of the project to ensure compliance with all requirements (i.e., real estate, ULDC compliance, etc.) and improve upon the multi-benefit aspect of the project.

This Project may be a "covered action" pursuant to Section 21065 of the California Public Resources Code. As a result, SJAFCA will be expected to work with DWR and the Delta Stewardship Council to determine whether the project is a covered action and if so, to prepare a certification to the Delta Stewardship Council that the project is consistent with the Delta Plan.

Mr. Giottonini
May 15, 2015
Page 2

Following approval of the full application, DWR looks forward to working with SJAFCA to develop the UFRR funding agreement for an amount up to \$22,309,666. If you have any questions regarding this matter, please contact Michael Sabbaghian at 916-574-1404 or by email at Mahyar.Sabbaghian@water.ca.gov, or Eric Koch at 916-574-1403 or by email at Eric.Koch@water.ca.gov.

Sincerely,



Keith E. Swanson, Chief
Division of Flood Management

Attachment

ATTACHMENT 1

REQUIRED UFRR APPLICATION MATERIALS

The following table indicates the items required for each type of UFRR Program Application.

	Full Application	
	Design Project	Construction Project
A Table of Contents, including a list of appendices	X	X
Appendix 2	X	X
Appendix 3	X	X
Appendix 4	X	X
Appendix 5	X	X
Appendix 6-A		X
Appendix 6-B	X	
Appendix 7	X	X
Statement regarding why the Project is eligible for funding as a Feasibility Study, Design Project, or Construction Project, according to the eligibility criteria set forth in Section 5 of the UFRR Program Guidelines	X	X
Basin Plan **	X	X
Documentation that provides sufficient information to demonstrate that the Project meets the 10 Criteria set forth in the Section 5 of the <i>UFRR Program Guidelines</i> .	X	X
A statement by a professional civil engineer registered with the State of California certifying review of the information presented in support of the proposal	X	X
A hydraulic impact analysis of the Project (part of Criteria 1)		X
Evidence of compliance with California Labor Code	X	X

**Basin Plan components are described in the UFRR Guidelines, Section 3.8